

# Root Resorption In Orthodontics: Revisiting The Evidence And Rethinking The Approach

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## Abstract:

Orthodontically induced root resorption (OIRR) is a biologically driven complication of orthodontic treatment that often progresses silently yet poses long-term risks to dental integrity. This narrative review explores the inflammatory mechanisms underlying OIRR, including clastic cell activation and cementum repair limitations. Key patient-related, treatment-related, and tooth-specific risk factors are examined, with emphasis on force magnitude, appliance type, and root morphology. Diagnostic modalities such as cone-beam computed tomography (CBCT) and AI-assisted imaging are evaluated for their role in early detection and longitudinal monitoring. Clinical strategies for minimizing resorption—such as biologically sensitive force application, appliance selection, and individualized monitoring protocols—are discussed alongside current controversies in extraction protocols and appliance efficacy. Emerging research in genomics, predictive modeling, and regenerative therapies offers promising avenues for personalized, biologically respectful orthodontic care. This review advocates for an evidence-based, interdisciplinary approach to safeguard root integrity and optimize orthodontic outcomes.

**Keywords:** Orthodontically induced root resorption, CBCT, biomechanics, AI diagnostics, appliance design, inflammation

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## I. Introduction

Orthodontically induced root resorption (OIRR) is a biologically complex and clinically significant phenomenon that challenges the balance between effective tooth movement and long-term dental integrity. Defined as the loss of cementum and/or dentin from the root surface due to mechanical forces, OIRR is an unintended consequence of orthodontic treatment that can compromise tooth stability and prognosis<sup>1</sup>.

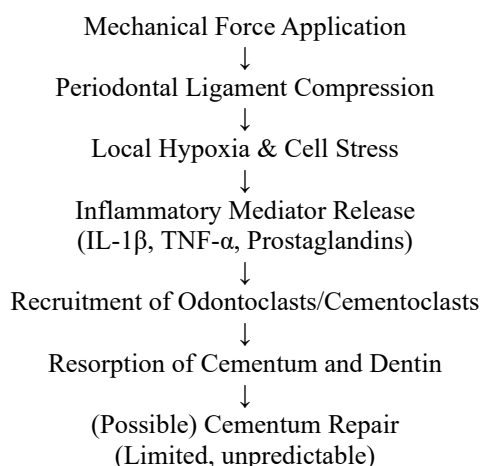
Despite its prevalence, the etiology of OIRR remains multifactorial and incompletely understood. Patient-specific factors such as age, genetic predisposition, and root morphology interact with treatment-related variables including force magnitude, duration, and appliance type<sup>2</sup>. Recent advances in imaging technologies and molecular biology have deepened our understanding of the inflammatory cascade and cellular mechanisms underlying resorption, yet predictive models remain elusive<sup>7</sup>.

This narrative review aims to synthesize current evidence on the pathophysiology, risk factors, diagnostic modalities, and clinical implications of OIRR. By integrating emerging research and highlighting unresolved controversies, we seek to inform more biologically sensitive and individualized orthodontic protocols.

## II. Pathophysiology And Mechanism:

In case of application of heavy forces over long duration instead on light forces for tooth movement, the common biologic response is occurrence of hyalinization followed by undermining resorption.

Studies by Brudvik and Rygh (EJO-1993) confirmed that EARR is a part of hyaline zone elimination process to remodel the damaged periodontium. The first cells that appear in the necrotic area are macrophages which are responsible for the initial resorption of the precementum layer. These macrophages are later followed by multinucleated cells (odontoclasts), which attack cementum and eventually dentin. This exposed dentin increases the likelihood of osteoclastic attack and EARR<sup>3</sup>, particularly if the tooth is subjected to forces from alternating directions in a parafunctional manner. Root resorption then occurs around this cell-free tissue, starting at the border of the hyalinized zone<sup>4</sup>. Histological root resorption (RR) usually presents as microscopic areas of **resorption lacunae** on root surfaces (75% of these areas show complete repair with secondary cementum). The role of RANKL \ RANK \ OPG signalling majorly influences the clastic activity<sup>5</sup>.



Understanding the pathophysiology allows us to identify key risk factors that predispose certain patients to resorption.

### III. Risk Factors For Orthodontically Induced Root Resorption

A complex interplay of patient-specific, treatment-related, and tooth-specific variables influences root resorption during orthodontic treatment. Understanding these factors is essential for risk stratification and personalized treatment planning<sup>6</sup>.

#### 1. Patient-Related Factors

- **Age:** Younger patients tend to have more resilient periodontal tissues, while adults may exhibit increased susceptibility due to reduced cellular turnover.
- **Genetic Predisposition:** Polymorphisms in *IL-1β*, *TNF-α*, and *RANK/RANKL* genes have been associated with heightened resorptive activity<sup>17</sup>.
- **Systemic Health:** Conditions like asthma, allergies, and hormonal imbalances may modulate inflammatory responses.
- **Previous Trauma:** Teeth with a history of trauma or endodontic treatment may show altered resorptive patterns.

#### 2. Treatment-Related Factors

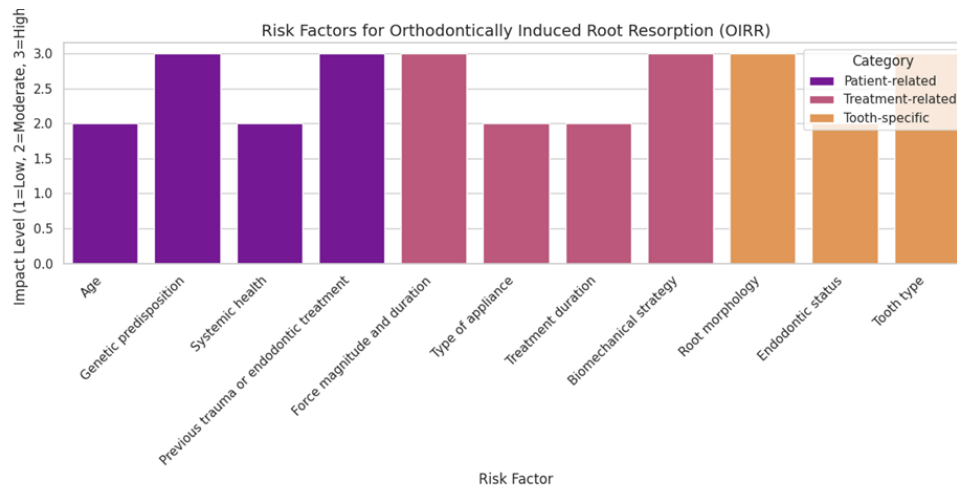
- **Force Magnitude and Duration:** Heavy, continuous forces are more likely to induce resorption than light, intermittent forces.
- **Type of Appliance:**
  - *Fixed appliances* (especially with extractions) are linked to higher OIRR rates.
  - *Clear aligners* may reduce risk due to controlled force application.
- **Treatment Duration:** Longer treatment times correlate with increased cumulative resorptive activity.
- **Biomechanical Strategy:** Intrusive and torque movements, particularly in maxillary incisors, are high-risk vectors.

#### 3. Tooth-Specific Factors

- **Root Morphology:** Teeth with short, blunt, or pipette-shaped roots are more vulnerable.
- **Endodontic Status:** Recent CBCT-based studies suggest root-filled teeth may exhibit less resorption than vital teeth under similar force conditions.
- **Tooth Type:** Maxillary incisors are most commonly affected due to their anatomical and biomechanical exposure.

**Table: Summary of Key Risk Factors**

Category	Risk Factor	Impact on OIRR
Patient-related	Age, genetics, systemic health	Moderate to high
Treatment-related	Force magnitude, appliance type	High
Tooth-specific	Root shape, endodontic status	Variable



Once risk factors are identified, appropriate diagnostic tools must be employed to monitor and manage OIRR effectively.

#### IV. Diagnostic Modalities In OIRR

Accurate diagnosis of orthodontically induced root resorption is essential for timely intervention and treatment modification. While clinical signs are often absent, imaging plays a pivotal role in identifying and quantifying resorptive changes<sup>18</sup>.

##### 1. Conventional Radiography

- **Periapical and Panoramic Radiographs:**

- Widely used due to accessibility and low cost.
- Limitations include 2D distortion, superimposition, and underestimation of resorption severity.
- Sensitivity is low for early or minor resorptive lesions.

##### 2. Cone-Beam Computed Tomography (CBCT)

- **Advantages:**

- Provides 3D visualization of root surfaces and surrounding structures.
- Detects subtle resorptive changes not visible on 2D radiographs.
- Enables volumetric analysis and longitudinal comparison.

- **Clinical Applications:**

- Monitoring high-risk teeth (e.g., maxillary incisors, previously traumatized teeth).
- Evaluating root morphology and proximity to cortical bone.

- **Limitations:**

- Higher radiation dose than conventional radiography.
- Cost and accessibility may limit routine use.

##### 3. Digital Image Registration and AI-Assisted Analysis

- Emerging technologies allow for:

- Superimposition of serial CBCT scans to track root changes over time.
- Automated detection and quantification of resorption using machine learning algorithms.

- **Clinical Potential:**

- Early identification of resorption hotspots.
- Personalized treatment adjustments based on predictive modeling.

##### 4. Histological Evaluation

- Primarily used in research settings.
- Confirms cellular activity and tissue-level changes.
- Not feasible for clinical diagnosis due to invasiveness.

These diagnostic insights inform clinical strategies aimed at minimizing resorptive damage during orthodontic treatment

**Table: Comparison of Diagnostic Modalities**

Modality	Resolution	3D Capability	Radiation Dose	Clinical Use
Periapical X-ray	Low	No	Low	Routine screening
Panoramic X-ray	Moderate	No	Low	Broad overview
CBCT	High	Yes	Moderate to High	Detailed assessment
AI-assisted CBCT	Very High	Yes	Variable	Predictive diagnostics
Histology	Microscopic	N/A	N/A	Research only

## V. Clinical Implications Of OIRR

Orthodontically induced root resorption presents a persistent challenge in balancing effective tooth movement with long-term dental health. While complete prevention may not be feasible, strategic clinical decisions can significantly reduce its severity and impact.

### 1. Force Management

- **Use of Light, Controlled Forces:** Minimizes inflammatory response and elastic activity.
- **Intermittent vs. Continuous Forces:** Intermittent forces allow for tissue recovery and reduce cumulative damage.
- **Avoidance of Excessive Intrusion and Torque:** Particularly in maxillary incisors, which are most vulnerable.

### 2. Treatment Planning

- **Risk-Based Appliance Selection:**
  - Clear aligners may be preferable in high-risk patients due to their controlled force delivery.
  - Fixed appliances should be used judiciously, especially in extraction cases.
- **Shorter Treatment Duration:** Reduces cumulative exposure to mechanical stress.
- **Staged Mechanics:** Allows for gradual adaptation and monitoring of root response.

### 3. Monitoring Protocols

- **Baseline and Follow-Up Imaging:**
  - Initial periapical or panoramic radiographs.
  - CBCT for high-risk cases or when resorption is suspected.
- **Serial Evaluation:**
  - Use of digital image registration or AI-assisted analysis to track changes over time.
- **Treatment Pause or Modification:**
  - Temporary cessation of force application if significant resorption is detected.
  - Reassessment of biomechanics and force vectors.

### 4. Patient Communication and Consent

- **Informed Consent:**
  - Patients should be made aware of the risk of root resorption prior to treatment.
- **Shared Decision-Making:**
  - Discuss appliance options, imaging needs, and risk mitigation strategies.

Despite these strategies, several controversies persist in the literature, warranting further exploration.

### Clinical Decision Matrix

Risk Level	Recommended Imaging	Appliance Strategy	Monitoring Frequency
Low	Periapical X-ray	Standard mechanics	Every 6–9 months
Moderate	Panoramic + CBCT (baseline)	Modified forces	Every 3–6 months
High	CBCT + AI-assisted tracking	Clear aligners or staged fixed	Every 2–3 months

## VI. Controversies And Current Research In Oirr

Despite decades of investigation, several aspects of orthodontically induced root resorption remain contentious. Ongoing research continues to refine our understanding and challenge established clinical norms.

### 1. Genetic Susceptibility

- Studies suggest that individual genetic predisposition may influence the severity of OIRR. Polymorphisms in IL-1 $\beta$ , TNF- $\alpha$ , and RANKL genes have been associated with increased risk<sup>11</sup>. However, clinical application of genetic screening remains limited and controversial.

### 2. CBCT vs. Traditional Imaging

- CBCT offers superior resolution and 3D visualization, but concerns persist regarding radiation exposure and cost.

- Debate continues over its routine use versus selective application in high-risk cases.

### 3. AI in Diagnosis and Monitoring

- Artificial intelligence tools are emerging for automated detection and quantification of root resorption.
- While promising, validation and integration into clinical workflows are still evolving.

### 4. Biomarkers and Salivary Diagnostics

- Research is exploring salivary biomarkers (e.g., dentin sialoprotein, cytokines) for early detection.
- These methods are non-invasive but currently lack standardization and clinical reliability.

### 5. Force Magnitude and Duration Debate

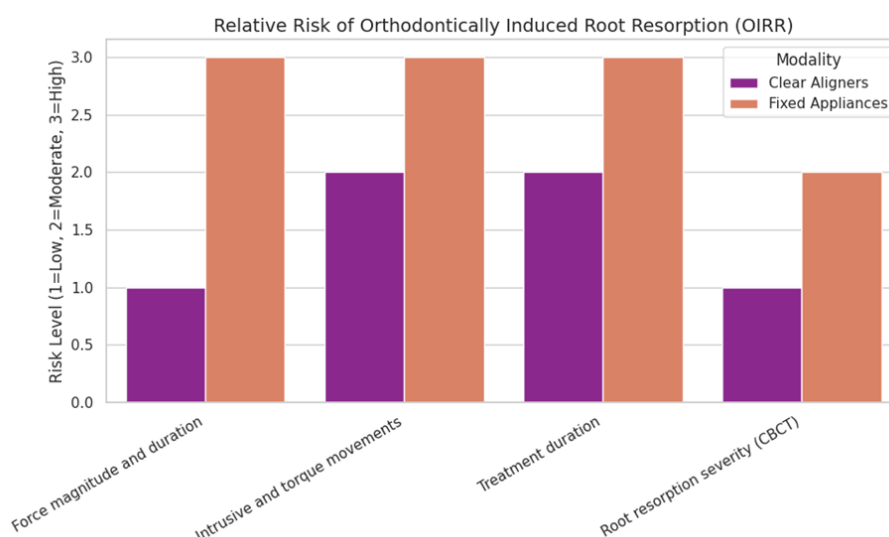
- Some studies challenge the traditional emphasis on light forces, suggesting that force duration may be more critical than magnitude.
- This has implications for appliance design and scheduling protocols.

### 6. Extraction vs. Non-Extraction Protocols

- **Controversy:** Extraction-based treatments, especially involving maxillary premolars, are associated with increased OIRR risk.
- **Recent Findings:** CBCT studies (e.g., Dawood et al., 2023) confirm higher resorption in extraction cases, but some argue this may be confounded by force magnitude and treatment duration<sup>7</sup>.

### 7. Appliance Type: Fixed vs. Clear Aligners

- Are aligners truly safer for root integrity is the latest controversy around this topic. Studies (e.g., Krieger et al., 2021) suggest aligners exert lighter, more controlled forces, resulting in less resorption—especially in anterior teeth. But limited torque and intrusion capabilities may reduce efficacy in complex cases, requiring hybrid strategies<sup>8</sup>. Following is a graphical illustration of relative risk of root resorption in Clear aligner therapy Vs Fixed appliance therapy



### 8. Endodontically Treated vs. Vital Teeth

- CBCT-based comparisons (Castro et al., 2022) show significantly less resorption in endodontically treated teeth, possibly due to reduced pulp-mediated inflammatory signaling. **Clinical Relevance** is that, it may influence appliance choice and force application in compromised dentition.

### 9. Cementum Repair and Regeneration

- Is root repair possible post-resorption is matter of concern from ages. **Based on evidence** from histological studies show limited cementum deposition, but clinical predictability remains low. Tissue engineering and regenerative therapies may offer new possibilities in this field.

These unresolved questions point toward promising avenues for future research and innovation.

## VII. Future Directions In OIRR Research And Management

As orthodontics advances toward biologically sensitive and precision-based care, the management of orthodontically induced root resorption (OIRR) demands a paradigm shift—from reactive protocols to predictive, personalized strategies. Several emerging avenues offer promise for transforming how clinicians understand, detect, and mitigate OIRR<sup>(10,14)</sup>.

### 1. Genomic and Biomarker Profiling

Recent studies have identified genetic polymorphisms in inflammatory mediators such as *IL-1 $\beta$* , *TNF- $\alpha$* , and the *RANK/RANKL* pathway, suggesting a heritable predisposition to OIRR. Salivary cytokine profiling is also under investigation as a non-invasive diagnostic tool<sup>17</sup>. These approaches may enable pre-treatment risk stratification and individualized force protocols tailored to each patient's biological response.

### 2. AI-Driven Imaging and Predictive Modeling

Machine learning algorithms are being developed to automate the detection and quantification of resorptive lesions on CBCT scans. Image registration tools allow for longitudinal comparison of root morphology, facilitating early intervention. Predictive modeling based on patient-specific data could guide dynamic treatment adjustments, reducing the likelihood of irreversible damage.

### 3. Biomechanical Innovation

Innovations in appliance design and force systems aim to minimize apical stress and inflammatory activation. Staged mechanics, hybrid aligner systems, and low-force protocols are being explored to optimize tooth movement while preserving root integrity. These developments align with the broader goal of biologically respectful orthodontics.

### 4. Regenerative Therapies

Tissue engineering approaches are under investigation to stimulate cementum repair following resorption. Bioactive molecules, scaffolds, and stem-cell-based therapies may offer future solutions for restoring root structure. However, clinical translation remains limited, and outcomes are currently unpredictable.

### 5. Interdisciplinary Integration

Emerging research suggests that systemic factors—such as airway morphology, cervical spine alignment, and hormonal status—may influence orthodontic force distribution and tissue response. Collaborative efforts between orthodontists, radiologists, geneticists, and biomedical engineers are essential to refine diagnostics and develop holistic treatment strategies.

As research continues to evolve, clinicians must remain informed and adaptable in their approach to OIRR.

## VIII. Conclusion

Orthodontically induced root resorption (OIRR) remains a biologically complex and clinically significant challenge in contemporary orthodontics. While its pathogenesis is multifactorial—encompassing mechanical, cellular, genetic, and systemic influences—advances in imaging, biomechanics, and molecular diagnostics have begun to clarify its underlying mechanisms and risk profiles.

This review has synthesized current evidence on the inflammatory cascade driving OIRR, identified key patient- and treatment-related risk factors, and evaluated diagnostic modalities ranging from conventional radiography to AI-enhanced CBCT analysis. Clinical strategies such as force modulation, appliance selection, and individualized monitoring protocols offer tangible pathways to mitigate resorptive damage.

Yet, controversies persist—particularly regarding extraction protocols, appliance efficacy, and the role of endodontic status. Emerging research in genomics, regenerative biology, and interdisciplinary diagnostics holds promise for transforming OIRR management from reactive to predictive.

Ultimately, safeguarding root integrity requires a biologically respectful and patient-centered approach. Continued research, technological innovation, and collaborative care will be essential in advancing orthodontic outcomes while minimizing iatrogenic harm.

**Conflict of Interest:** No conflict of interest

**Ethical Approval:** This review did not involve human or animal subjects

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