# Overload And Depression In The Informal Primary Caregiver Of Patients With Disabling Diseases: Theoretical Review

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#### Abstract:

There is great interest in studying the role of the informal primary caregiver due to the relationship between care, caregiver overload, and the psycho-emotional problems that arise. In this context, various epidemiological studies in the last two decades showed that the effect of caring for a patient with a chronic disease and with limitations in activity can affect the emotional and psychological health of the caregiver. Notably, caring for a patient with a disabling illness (dementia, cancer, vascular stroke, neuromusculoskeletal diseases, among others) can produce a series of discomforts in the informal primary caregiver, such as overload or burnout, affecting performance and satisfaction in care tasks and, even more so, affect the emotional health of the caregiver by increasing stress and anxiety, which, in turn, can trigger the symptoms of major depressive disorder. Therefore, it is essential to study and prevent primary caregivers of patients with activity limitations from affecting their emotional and psychological health. This review focuses on the importance of caring for a family member with a disabling illness and the repercussions of care work on primary caregivers' activities and emotional health.

Key Word: Overload; Primary Caregiver; Disabling Diseases; Emotional Health.

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#### I. Introduction

The World Health Organization and the Global Burden of Disease reported in 2018 that nearly 1.3 billion people worldwide have a significant disability.<sup>1,2</sup> The WHO defines *disability* as the state in which people suffer a loss of physical, mental, intellectual, or cognitive autonomy and who need assistance or help to manage the daily activities of life.<sup>1</sup> This means that due to their patients characteristics, they need another person, called a caregiver, who helps them carry out the activities they cannot do; said informal caregiver generally belongs to the family circle. The Informal Primary Family Caregiver is "that person who assumes total responsibility for the patient, helping him or her to carry out all the activities that he or she cannot. <sup>3,4</sup> The literature mentions that there are common risk factors found in caregivers. Primary factors include sex, family relationship with the patient, marital status, educational level, employment status, a greater number of hours dedicated to care, and economic level.<sup>5-8</sup> Caring for a person with activity limitations is one of the most stressful and challenging events for families.<sup>3</sup> Notably, the performance of the caregiver role entails symptoms such as discomfort, stress, and deterioration linked to providing assistance for an indeterminate period and without probable rest; this symptomatology is known as "overload or burnout." When this overload occurs in a prolonged or intense manner, it produces physical, psychological, and social imbalances that impact the caregiver's social activities, leisure, intimacy, and lost emotional balance, developing the "Caregiver Burden Syndrome." The latter has been associated with sleep problems, irritability, high levels of anxiety, and resentment towards the person who cares. On many occasions, it has been associated with the appearance of depressive symptoms in the caregiver. Therefore, it is essential to identify and treat this condition in time to improve the quality of life of caregivers and the patients in their care.

## II. Disability in the world

It is estimated that, in the world, more than one billion people live with some disability, that is, about 15% of the world's population, of which, according to the World Health Survey, about 200 million have difficulties with very significant functioning (severe disability)2. In the last three decades it has been observed that disability is increasing because the population ages and, more and more people suffer from chronic diseases such as diabetes, cardiovascular diseases, cancer, dementia, Parkinson's, and different psychiatric disorders.<sup>5, 9-13</sup>

Among the main problems generated by disability is that its presence often generates deficiencies from mild to severe, with symptoms, signs, or consequences that make it impossible for people to carry out Activities of Daily Living (ADL), which requires It implies the need for care from another person, a relationship known as "dependency." Given these conditions, patients with these diseases are considered dependent individuals who require special and long-term care from a family member and health system services because they have significant limitations in their activities of daily living, such as moving, walking, taking care of themselves in terms of daily hygiene, dressing, feeding, medicating, and on many occasions socializing.<sup>6,9,10,14</sup>

### III. The informal primary caregiver

Mcmullan et al., 2022 define the Informal Caregiver as "that person who provides support to the patient, this being a family member or friend who assumes absolute responsibility in everything regarding their basic care, or as that person who, in a voluntary and determined way, he takes the option of becoming a caregiver for that other who is limited in his resources to face the demands of the world."<sup>15</sup> It must be emphasized that this person (the caregiver) is not trained or part of the professional caregivers that make up your health team, such as nurses, doctors, social workers, and therapists. Instead, he provides social, functional, economic, material, emotional, and assistance support in various forms to the person in his charge. For this reason, the family caregiver constitutes a social unit vulnerable to the conditions and pressures present in their cultural, economic, and social environment at a given time.<sup>16,17</sup> In this context, caregivers may experience a wide range of feelings and emotions such as anger, guilt, frustration, exhaustion, anxiety, fear, pain, sadness, and a decrease in self-esteem and satisfaction with the work performed, depending on the situations and in the way in which he assumes his role.<sup>17,18</sup>

The above predisposes the quality of life of the informal caregiver to be affected, given the work overload due to the tasks of caring for a patient with activity limitations.<sup>17,18</sup> In this sense, the biggest problem that informal caregivers present lies in psychological and social repercussions; among them, we can highlight major.<sup>19-21</sup> It is important to mention that, despite scientific advances and new strategies implemented for health care, they focus solely on patient care. However, what happens with the deterioration of the informal caregiver's quality of life and health? Then, who takes care of the caregiver? Therefore, it is important to characterize the informal primary caregiver and describe the degree of affectation that he or she may present when performing his or her important role.

Various studies report a predominance of the female sex in the performance of the role of informal primary caregiver; for example, in a study carried out in the United States of North America, it was found that 69.6%% of the caregivers were female<sup>22</sup>, a similar situation was found in studies carried out in Spain, Ghana and China where the proportion of women ranged from 50 to 91%.<sup>23-25</sup> This fact makes visible gender inequality in informal care, where it is women who carry out this activity of caring for patients with disabling diseases.<sup>25</sup> This differential burden in the distribution of the roles of informal primary caregivers between women and men in patient care is a fact.<sup>26</sup> However, this does not mean it is an activity exclusively by women. However, there is a connotation of feminization in the role of the caregiver, where the unemployed woman, generally with a lower educational level, is the one who is responsible for domestic tasks, care, and support of a family member with limitations in the activity.<sup>26-28</sup> In this sense, it has been observed that women assume the role of the caregiver as a moral and natural commitment framed by emotional issues. The fact is that informal care work constitutes a scenario of gender inequality in which a woman simultaneously plays several roles: mother, wife, worker, and the role of caregiver. This significantly affects her social and personal life and, why not say it, her health <sup>28</sup> (see Table 1).

	mormar primary	earegiver	of patients with	in accivity h	initations
Patient's illness	Age of informal primary caregiver	Sex	Education	Country	Reference
Patients with impaired consciousness	53.4 +/- 12.7 years	F 64.4% M 35.6%	Basic studies 52.8%	Italy	Covelli et al., 2016 <sup>7</sup>
Patients with mental problems	52.08 +/- 14.42 years	F 60% M 40%	Professional Studies 79%	USA	Shaffer et al., 2016 <sup>8</sup>
Stroke patients	47.6 +/- 10.4years	F 80% M 20%	Mid education	China	Wang et al., 2021 $_{40}$
Patients with Prader Willi Syndrome	49.9 +/- 14.8 years	F 64% M 36%	ND	Argentina	González-Ruiz et al., 2023 <sup>38</sup>
Elder people	47.41±16.8 years	F 84.5% M 15.5%	Primary studies 44.9%	Brazil	Dos Anjos et al., 2014 <sup>41</sup>
Patients with neuromusculoskeletal disease	46.6 ± 13.4 years	F 83.3% M 16.7%	Professional Studies 50%	México	Hijuelos-García et al., 2018 <sup>6</sup>
Elder people	61.5 ±13.1 years	F 66.2% M 33.8%	Mid (32.5%) or primary (26.45%) education	Spain	Perpiñá-Galvañ et al., 2019 <sup>39</sup>

Table no1. Profile of the informal primary caregiver of patients with activity limitations.

ND= no determined\*(10)

#### IV. Burnout in the informal primary caregiver

The term "overload" or Burnout syndrome refers to the discomfort and stress that informal primary caregivers experience when facing immediate stressors typical of the performance of care and the deterioration that this presents when assisting the patient with limitation in activity during an indeterminate period without rest.<sup>29,30</sup> In general, caregivers are in charge of patients from diagnosis. From this point on, they carry out pertinent actions to ensure the well-being of the person in their care, dedicating much of their time to this role. This leads to the development of a perception of poor health, deterioration in the quality of life, and various physical, psychological, and social problems over time, causing care to become a stressor that negatively impacts the caregiver—increasing the probability of causing overload.<sup>30,31</sup> Informal primary caregiver overload syndrome is characterized by a state of emotional exhaustion, stress, and tiredness.<sup>32</sup> It has also been reported that caregivers lack sleep and time for themselves, have financial difficulties (lower income and higher expenses), and experience decreased social and leisure activities. In such a way, with those above, the informal primary caregiver is subjected to physical, psychological, social, and economic stress that can impact their quality of life and health.<sup>31,32</sup>

Caring for a family member with activity limitations exceeds the caregiver's own physical and emotional capacity, which leads to a chronic stressful state that generates overload and burnout. It is a state that threatens the physical and mental health of the caregiver due to the persistent difficulty of caring and the physical, psychological, and emotional problems that he or she may experience. It has been reported that many informal primary caregivers have dedicated between 5 and 10 years to caring for a family member; this care occurs during the day and night.<sup>33-35</sup> Due to the large time dedicate to care, they are considered vulnerable because, as mentioned above, caring for a family member represents important material, economic, social, and health costs, producing overload or burnout syndrome.<sup>34-36</sup> Table 2 shows the time of care and the level of overload or burnout experienced by informal primary caregivers.

Reference	Years of care	Hours of daily care	Primary caregiver overload
Figueiredo et al., 2022 <sup>34</sup>	> 3 years	>12 hours day	45% of caregivers presented overload

Dos Anjos et al., 2014 <sup>41</sup>	6-10 years	9-18 hours day	47% of caregivers presented overload
Wang et al., 2021 <sup>40</sup>	>5 years	>12 hours day	48.2% of caregivers presented overload

It has also been reported that inflexible work schedules and interruptions due to caregiving responsibilities correlated with feelings of burden or burnout. Caregiving wives were more likely than sons to present with depressive symptoms and loss of general health. These results may be due to advancing age and declining physical health, as well as the likelihood that a spouse will live in the same household as the person with a disability and assume a more significant burden of caregiving responsibilities than a spouse would typically assume.

#### V. Depression in the informal primary caregiver

Caregivers constitute a population at high risk of suffering emotional disturbances, given the constant demands of caring for a family member with activity limitations. The mental health of informal primary caregivers has been reported to be affected.<sup>37</sup> One of the negative consequences of caregiving is depressive and anxious symptoms.<sup>23</sup> However, not all caregivers present depressive symptoms. Some studies have found associations between the variables overload, fatigue, stress, anxiety, and depression.<sup>23,38</sup> Additionally, caregiver burnout was associated with worse health.

Other studies report that the main alterations that the caregiver presents are depression, anxiety, and insomnia as a manifestation of their emotional stress. Of them, the most common is depression. For example, a study reported that 61% of informal primary caregivers of people with Prader-Willi syndrome presented overload, 32% anxiety, and 40% depression.<sup>38</sup> Notably, another study found that 41.6% of informal primary caregivers of patients with cancer or neurodegenerative diseases presented burnout, 48.1% anxiety, and 18.2% depression.<sup>39</sup> Also, a study carried out on informal primary caregivers of stroke patients showed overload in 21% of them. While 20% of caregivers suffer from anxiety and depression.<sup>40</sup> The consequences of the caregiver's psychological problems greatly influence the individual and family level and, most importantly, the care of the patient with activity limitations. The existence of a high correlation between overload and the depression index in the informal primary caregiver is consistent in the literature, regardless of the variability in the type of studies, since they were carried out in patients with autism, chronic pain, and neurological disorders, neuromusculoskeletal diseases, or a disability due to adulthood. These results indicate that having a close relationship with a patient who has a disability contributes to the informal primary caregiver's feelings of overload and contributes as a risk factor for him or her to develop depressive symptoms. In these studies, associations have been identified between depressive symptoms and the caregiver's life factors, including the quality of the caregiver's sleep, the duration of caregiving, the type of activity limitation, financial problems, and the overload experienced by the caregiver. For example, Koyanagui et al. in 2018, in a meta-analysis study, they found that the caregiver's problems falling asleep increased the risk of depressive symptoms.<sup>42</sup> López et al. found that 32.5% of informal primary caregivers presented major depression<sup>23</sup>—furthermore, Buenfil-Diaz et al. in 2016, found a correlation between the limitation in the patient's activity and the depression index in the informal primary caregiver (r=0.63, p=0.0048).28 Navarro-Sandoval et al. in 2017, they reported that more than 50% of caregivers presented overload (mild and intense); of these, 32.9% presented mild depressive indices and 11.8 moderate indices.<sup>43</sup> In this context, the analysis of correlations reveals that intense caregiver overload is significantly associated with the depression index (Table 3).

Type of limitation on activity	<b>Correlation Overload and</b>	reference
	depression	
Patients with cancer and	R2=0.524 (linear	Perpiñá-Galvañ et al., 2019 <sup>39</sup>
neurodegenerative diseases	regression)	-
Patients with neuromusculoskeletal disease	r= 0.72; p=0.0007	Dzul-Gala et al., 2018 <sup>27</sup>
Alzheimer's patients	r= 0.59; p=0.001	Coffman et al., 2017 <sup>44</sup>
Elderly patients	r= 0.417; p<0.001	Wang et al., 2016 <sup>45</sup>

Table no3. Association of overload experienced by the caregiver and depressive symptoms
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#### VI. Future perspectives

Although it has been reported that the presence of a limitation in patients' activity is a source of overload and depression in the informal primary caregiver, several factors can influence the presence of these

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symptoms. Among them, the duration of care and the social and economic aspects of the caregiver have been reported.

Identifying each of the factors that affect the physical and psychological health of the caregiver can not only reduce caregiver stress but can also help patients recover. For this reason, appropriate interventions aimed at their psychosocial, physical, and health needs are required to reduce the risks that can be caused by caring for a family member with activity limitations. Several associations have been identified between depressive symptomatology and life factors, including caregiver sleep quality, overload, duration of caregiving, unemployed caregiver, financial problems, and female sex. In this context, the health of caregivers can be improved by strengthening the psychological and psychosocial environment, as subjective burden and lack of positive aspects of caregiving have been found to lead to poorer health. However, a better understanding of each factor affecting caregivers' long-term health status is required before a helpful intervention strategy can be devised.

On the other hand, some studies have suggested that psychosocial interventions for primary caregivers can help improve overall quality of life.<sup>47,48</sup> In this review, some factors involved in overload and their relationship with depressive symptoms of the informal primary caregiver were identified. Due to the emotional and physical impact that occurs on the informal primary caregiver, psychological support is essential. Some studies have carried out interventions to support informal primary caregivers. However, the participation of caregivers is low.<sup>49,50</sup> This is because many of them have difficulties leaving home. Therefore, internet-based interventions can be an excellent option to support each caregiver. In this sense, some studies suggest that Internet interventions for informal primary caregivers of patients with activity limitations improve depressive symptoms, overload, and stress.<sup>23,51,52</sup>

On the other hand, it is essential to mention that from the moment the treatment of a patient with a chronic illness or with limitation in activity begins, the support of his family or informal primary caregiver is essential, where information must be provided. To the latter about their family member's illness so that they become aware of the illness and can begin with management and coping strategies since this will favor the work of care and reduce overload and depressive symptoms. In addition, it is crucial to make the caregiver aware that caring for another person should not affect or interfere with the performance of their activities or neglect their health. The caregiver must attend to their physical, social, leisure, and productivity needs, balancing them and their satisfaction with care performance. The quality of care you provide to your family members will depend mainly on their excellent physical, mental, and emotional health. Finally, we consider that work should be done to make the primary caregiver aware of how important it is to share the care tasks with other family members and not fall into the mistake that the caregiver is the only one who can or should attend to the child's needs. In this way, we will contribute to caring for the caregiver and the same person with illness and activity limitations.

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