Performance Analysis of Single Quantum Dots and Couple Quantum Dots at Intermediate Band Solar Cells

Sajad Heshmati 1, Saeed Golmohammadi 2

1 Department of Electrical Engineering, Buin Zahra Branch, Islamic Azad University, Buin Zahra, Qazvin, Iran
2 Nanophotonics Group, School of Engineering-Emerging Technologies, University of Tabriz, Tabriz 5166614761, Iran

Abstract: In this paper, the performance of the single quantum dots and couple quantum dots at intermediate band solar cells are investigated. Simulation results show that by increasing the distance between quantum dot overlap between the wave functions of the quantum dots is reduced and the intermediate bandwidth decreases. We found that an intermediate band has an inverse relation with efficiency.

Keywords: Barrier width, Efficiency, Quantum dot intermediate band solar cell (QD-IBSCs) • Quantum-dot width • Efficiency.

1. INTRODUCTION

Quantum dot intermediate band solar cells (QD-IBSCs) is the newest type of solar cells for achieving the maximum attainable efficiency. Realization of low-cost photovoltaic power sources is subject to development of low cost and efficient solar cells. The performance of the intermediate band solar cell (IBSC) depends on the electrical and optical properties of the intermediate band (IB) material. The intermediate band (IB) solar cells utilize more of the incoming photons through using an intermediate band placed in the band gap between the conduction and valence band. The band gap of the semiconductor is divided into two sub-bands gaps. [1] These are characterized by an electronic band that is located between the conduction band (CB) and the valence band (VB) of a conventional semiconductor band gap. The IBSC has been proposed by using quantum dot (QD) technology [2], [8].

The structure of the QDs plays an important role to achieve maximum efficiency. The energy conversion efficiency is a fundamental parameter in the photovoltaic solar cell technology [4]. It is defined as,

\[
\eta = \frac{FF \cdot V_{OC} \cdot J_{SC}}{P_{in}}
\]  

(1)

Where FF is the fill factor, \( V_{oc} \) is the open circuit voltage, \( J_{sc} \) is the short-circuit current density, and \( P_{in} \) is the incident power per unit area [4]. A schematic of the IBSC is shown in fig. 1.

![Figure 1. IBSC][12]

The ideal performance of the solar cell- the bandgaps separating the IB from the CB and separating the IB from the VB are in the range 1.24eV, and 0.71eV, respectively [3], [5]. These bandgaps are shown in fig. 2. These gaps can be exchanged, for example the IB could be closed to the VB respect to the CB. On the other hand, the IB must be partially filled with electrons so that empty states has been existed for receiving the electrons pumped from the VB and also electrons-filled states to provide for the electrons pumped to the CB [7].

![Figure 2. IBSC with optimum bandgap][12]
A semiconductor with single bandgap only absorbs photons with energies above the bandgap threshold. Therefore, only these photons contribute in the produced photocurrent. But when there is a half-filled IB, two photons with energies below the bandgap threshold can pump an electron from the VB to the CB. A first photon with energy greater than $E_l$ will pump an electron from the VB to the IB that has empty states for receiving the electron and a next photon with energy greater than $E_h$ pump an electron from the IB that has electron-filled states to the CB [6]. In this method, photocurrent is greater than the current that can be produced by semiconductors with single bandgap [3], [5], [7]. In the radiative limit, the IBSC shows a limit efficiency of 63.2% [3, 5], significantly higher than the single gap solar cell efficiency (40.7%) and two-junction solar cell efficiency (55.4%), operated at their radiative limit. However, in this paper, the relationship between various parameters of the QDs such as CB offset, QDs width and QDs barrier width for achieving the maximum efficiency is investigated. This article is in four parts. In the first part (II) of the QD-IBSCs design rules have been explained. In the second part of the analysis of single and coupled quantum dots have been studied and their relationship with IB is investigated. In the third part of the quantum dot conduction band energy using of effective mass approach is calculated and two material systems using of kronig-penny model have been investigated. The final section concludes shown.

II. Design Rules for QD-IBSC

Design rules for selecting the QD-IBSC materials triad (QD/Barrier/Substrate) is defined as [3], [9], [13]

A. The barrier material must have a bandgap $E_{CB}$ in the interval [1.43 eV, 2.56 eV].
B. The valence band offset must be negligible.
C. Material with direct bandgap must be used because they have a larger absorption coefficient
D. The offset between the CB edges $E_{CB}$ must be greater than $0.48 \times E_{CB} - 0.22$.
E. The mean distance between the self-assembled QDs should be such that the overlap between the intermediate band and CB and VB is prohibited.

III. Analysis of the Single DQ and Coupled QD and their relationship with IB

In order to study the performance of the QD-IBSCs, two cases are investigated:

A. When the quantum dots are located at great distances from each other and do not overlap with each other.

B. When the QDs are close to each other their wave functions overlap with each other to form an intermediate band [8].

The wave functions of a Single QDs and a set of coupled QDs are shown in fig. 3.

![Figure3. Single and Coupled QDs](image)

IV. Calculation of QD-CB energy using of effective mass approach

The conduction band energy of an idealized version of a three-dimensional silicon QD superlattice can be calculated using an effective mass approach [10]. Silicon QD superlattice with a regularly spaced array of equally sized cubic dots in a dielectric matrix is shown in fig. 4 [10].

![Figure4. QD Superlattice](image)
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In this approach, the motion of a carrier in the material system is defined by the effective mass equation which is defined as, (2) [10]

\[ \frac{\hbar^2}{2} \nabla^2 \left( \frac{1}{m^*(r)} \right) \nabla \phi(r) + [E - V(r)] \phi(r) = 0 \]

(2)

Where \( m^* \) is the effective mass tensor. E is the total energy and \( \phi(r) \) is the envelope function of the electron. V(r) is the microscopic potential seen by the electron which is considered the sum of three independent periodic functions. It is defined as, (3)

\[ V(r) = V_x(r) + V_y(r) + V_z(r) \]

(3)

Consequently, the three dimensional effective mass equation is separable for the case of isotropic effective mass and is reduced to three one-dimensional quantum well superlattice equations [11]. As a result, the solution of Eq. (2) Can be expressed in terms of solutions of the simple one-dimensional kronig-penny model [11]. In the case of the isotropic effective mass the following equations are defined as, (4)

\[
\cos(q_i d_i) = \cos(k_i^D L_i) \cos(k_i^B S_i) - \frac{1}{2}
\]

(4)

\[
\left( \frac{k_i^B m^*_D}{k_i^D m^*_B} + \frac{k_i^D m^*_B}{k_i^B m^*_D} \right) \times \sin(k_i^D L_i) \sin(k_i^B S_i) \geq E_i \geq V_0
\]

\[
\sin(k_i^B S_i) E_i < V_0
\]

In, (4) and (5) \( k_i^B = \sqrt{2m^*_B E_i} \) \( k_i^D = \sqrt{2m^*_D E_i} \) is the effective mass in the dielectric matrix and \( m^*_D \) is the effective mass of the QD, \( q_i \) is the wave vector component and \( i \) is the coordinate direction [11]. The energy dispersion relation is then obtained from, (6)

\[
E(q) = E_x(q) + E_y(q) + E_z(q)
\]

(6)

By using of kronig-penny model [11,12]. The following analysis for different values of the barrier width and the width of the quantum dots is investigated. In the simulations the two material systems have been analyzed:

A. In the following analysis GaAs_{0.98}Sb_{0.02} as Barrier material and InAs_{0.49}P_{0.51} as QD material is considered. AlAs is used as a substrate. Bandgap of the barrier material is 1.48EV and bandgap of the QDs is 0.91EV. The effective mass of the barrier material is 0.066m_0 and effective mass of the QDs is 0.039m_0(m_0 is the rest mass of the electron). In this material system there is an energy difference of ~0.57EV between the conduction band energy of the GaAs_{0.98}Sb_{0.02} and conduction band energy of the InAs_{0.49}P_{0.51}[9]. Diagram of the energy dispersion versus quantum dot electron wavefunction is shown in fig 5, 6, 7.

![Diagram of the energy dispersion versus quantum dot electron wavefunction](www.iosrjournals.org)
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Fig. 6: diagram of the energy dispersion versus quantum dot electron wavefunction to dot width (4nm), barrier width (3nm) and intermediate bandwidth (30meV)

Fig. 7: diagram of the energy dispersion versus quantum dot electron wavefunction to dot width (4nm), barrier width (2nm) and intermediate bandwidth (90meV)

Fig. 8: diagram of the intermediate bandwidth versus barrier width

Table 1: The values of the intermediate bandwidth and efficiency with different parameters of QDs

<table>
<thead>
<tr>
<th>Efficiency(%)</th>
<th>Intermediate bandwidth(meV)</th>
<th>Barrier width(nm)</th>
<th>Quantum dot width(nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>54.51</td>
<td>3</td>
<td>6</td>
<td>4</td>
</tr>
<tr>
<td>54.42</td>
<td>7</td>
<td>5</td>
<td>4</td>
</tr>
<tr>
<td>54.15</td>
<td>17</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>53.64</td>
<td>38</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>52.52</td>
<td>90</td>
<td>2</td>
<td>4</td>
</tr>
</tbody>
</table>

As shown in fig 5, QDs are located far from each other. In this structure, The first band (electron wave function) is considered as an IB. Since the QDs are widely separated, they do not overlap with other parts of the wave functions of QDs. As a result, the intermediate bandwidth is reduced. In fig 6 and 7, QDs are placed in close distance and therefore the QDs wavefunction has overlap with each other and energy band (electron wavefunctions) is wider. The result is the formation of an IB. In fig 8, Intermediate bandwidth decreases with increasing barrier width. In table 1 efficiency has an inverse relationship to intermediate bandwidth. (The calculations under air mass AM1.5G and solar intensity 1000 sun is performed)
In the following analysis GaAs$_{0.98}$Sb$_{0.02}$ as Barrier material and InP$_{0.82}$Sb$_{0.18}$ as QD material is considered. AlAs is used as a substrate. Band gap of the barrier material is 1.48EV and band gap of the QDs is 0.92eV. The effective mass of the barrier material is 0.066$m_0$ and effective mass of the QDs is 0.042$m_0$ ($m_0$ is the rest mass of the electron). In this material system, there is an energy difference of ~0.56EV between the conduction band energy of the GaAs$_{0.98}$Sb$_{0.02}$ and conduction band energy of the InP$_{0.82}$Sb$_{0.18}$.[7]

Dispersion diagram of the band structure (energy versus wave vector) with QDs fixed width and different values of the barrier width is shown in fig 9, 10, 11. The results shown in table 2 that with increasing barrier width, Intermediate bandwidth is reduced and vice versa.

![Dispersion diagram](image)

**Fig 9:** dot width (2nm), barrier width (4.5nm) and intermediate bandwidth (70meV)

![Dispersion diagram](image)

**Fig 10:** dot width (2nm), barrier width (3.5nm) and intermediate bandwidth (110meV)

![Dispersion diagram](image)

**Fig 11:** dot width (2nm), barrier width (8nm) and intermediate bandwidth (25meV)

**Table 2:** The values of the intermediate bandwidth and efficiency with different parameters of quantum dot

<table>
<thead>
<tr>
<th>Intermediate band width (meV)</th>
<th>Barrier width (nm)</th>
<th>Quantum dot width (nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>110</td>
<td>3.5</td>
<td>2</td>
</tr>
<tr>
<td>90</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>70</td>
<td>4.5</td>
<td>2</td>
</tr>
<tr>
<td>55</td>
<td>5</td>
<td>2</td>
</tr>
<tr>
<td>30</td>
<td>7</td>
<td>2</td>
</tr>
<tr>
<td>25</td>
<td>8</td>
<td>2</td>
</tr>
</tbody>
</table>

In Table 2, the values of the intermediate bandwidth with a width of 2 nm QDs has been investigated and in table 3, the values of the intermediate bandwidth with a width of 3 nm QDs has been investigated. Dispersion diagram of the band structure (energy versus wave vector) is shown in fig 12, 13, 14. In Tables 1, 2, 3, different
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amounts of intermediate bandwidth with the constant quantum dots and different values of the barrier width is calculated. The results in the tables show that intermediate bandwidth decreases with increasing barrier width and vice versa and also in Table 3 is shown that efficiency decreases with increasing the width of the middle band and also efficiency by reducing the width of the middle band increases (The calculations under air mass AM1.5G and solar intensity 1000 sun is performed).

Table 3: The values of the intermediate bandwidth and efficiency with different parameters of quantum dot

<table>
<thead>
<tr>
<th>Efficiency(%)</th>
<th>Intermediate bandwidth(meV)</th>
<th>Barrier width(nm)</th>
<th>Quantum dot width(nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>51.18</td>
<td>93</td>
<td>2.5</td>
<td>3</td>
</tr>
<tr>
<td>51.66</td>
<td>63</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>52.10</td>
<td>43</td>
<td>3.5</td>
<td>3</td>
</tr>
<tr>
<td>52.28</td>
<td>29</td>
<td>4</td>
<td>3</td>
</tr>
<tr>
<td>52.41</td>
<td>20</td>
<td>4.5</td>
<td>3</td>
</tr>
</tbody>
</table>
V. Conclusion

Analysis results in two material systems above shows that in QD-IBSCs, intermediate bandwidth by increasing the width of the barrier, is reduced and intermediate bandwidth by reducing the width of the barrier is increased. The intermediate band has an inverse relationship with the efficiency. Finally increase or decrease the intermediate bandwidth is effective in increasing and reducing efficiency. We found that an intermediate band has an inverse relation with efficiency.

References